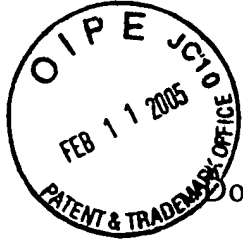# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Dayton, Ohio

Docket No. 6002.03

Application of:

SIEFERT, D. M.

Serial No. 08/813,714

Filed: August 4, 1999

Group Art Unit: 2126
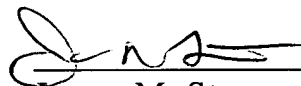
Examiner: LAO, SUE X.

For: **AUTOMATED RESOURCE MANAGEMENT SYSTEM**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Office Communication dated October 13, 2004, provided are copies of the Information Disclosure Statements filed on April 16, 1996 and December 10, 2001.

Respectfully submitted,

James M. Stover
Reg. No. 32,759

NCR Corporation
1700 South Patterson Blvd.
Dayton, Ohio 45479-0001
Tel. No. (937) 445-7663
Fax No. (513) 719-6936

CERTIFICATION OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on _____.

By: _____
Name: _____

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Dayton, Ohio

Docket No. 6002.03

Application of:

SIEFERT, D. M.

Serial No. 08/813,714

Filed: August 4, 1999

For: **AUTOMATED RESOURCE MANAGEMENT SYSTEM**

Group Art Unit: 2755

Examiner: LAO, S.

Assistant Commissioner for Patents
Washington, D.C.   20231

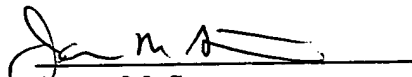## INFORMATION DISCLOSURE STATEMENT
C.F.R. §1.97(c)

Sir:

In accordance with 37 CFR §1.56, §1.97 and §1.98, with regard to the above-named application, the items of information identified on the enclosed Form PTO/SB/08A are brought to the attention of the Examiner. A copy of each document or other information listed on the enclosed Form PTO/SB/08A is provided.

FEE UNDER 37 CFR §1.97(c) AND §1.17(p)

Please charge the following deposit account to cover the fee under 37 CFR §1.17(p): Deposit Account No. 50-1673.

Respectfully submitted,

James M. Stover
Attorney for Applicants
Reg. No. 32,759

NCR Corporation
Dayton, Ohio 45479-0001
Tel. No. (937) 445-7663
Fax No. (937) 445-4792

ATTY. DOCKET NO.	**SERIAL NUMBER**
6002	08/217,065

LIST OF PATENTS AND PUBLICATIONS FOR APPLICATION'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

APPLICANT
David M. Siefert

FILING DATE	**GROUP**
March 24, 1994	

REFERENCE DESIGNATION   U.S. PATENT DOCUMENTS

Exam. Initial		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
✓		4	9	9	2	9	4	0	02/12/91	DWORKIN			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	AL	0	4	4	3	0	3	8	08/28/91	European Patent Application				
	AO													
	AP													

OTHER   ART (Including Author, Title Date, Pertinent Pages, etc.)

	AR	COMPUTER, vol. 26, no. 9, 1 September 1993, pages 8-22, XP 000395673 OBRACZKA K ET AL 'INTERNET RESOURCE DISCOVERY SERVICES' * page 9, line 11 - line 28 *
	AS	PROCEEDINGS OF THE OBJECT ORIENTED PROGRAMMING SYSTEMS LANGUAGES AN APPLICATIONS CONFERENCE. (OOPSLA), ORLANDO, OCT. 4 - 8, 1987 SPECIAL ISSUE OF SIGPLAN NOTICES, VOL. 22, NO. 12, DEC. 1987, vol. 22, 4 October 1987 MEYROWITZ N, pages 126-137, XP 000299779 CAPLINGER M 'AN INFORMATION SYSTEM BASED ON DISTRIBUTED OBJECTS' * page 126, left column, line 27 - page 127, right column, line 8; figures 1,2 *
	AT	DATABASE INSPEC INSTITUTE OF ELECTRICAL ENGINEERS, STEVENAGE, GB, Inspec No. AN4560279, * abstract * & OCLC SYST. SERV., vol. 9, no. 3, 1993 USA, pages 31-36, SMITH J, "Electronic ILL at Colorado State University"
	AT	PROC. INT. CONF. ON COMPUTER COMMUNICATION, 28 September 1992 GENOA, IT, pages 63-68, BALDINI A. ET AL. 'Melogranus: The Design of a Large Scale Distributed UNIX File System', * page 65, left column, line 3 - page 65, right column, line 22; figure 2 *

EXAMINER	**DATE CONSIDERED**

EXAMINER: Initial if reference considered, whether or not citation is in cosnformance with MPEP 609; Draw line through citation if not in conformance *and* not considered. Include copy of this form with next communication to applicant.

(Information Disclosure Statement -Section 9 PTO-1499 (Modified) [6-1]-page _1___ of __1__)

'ype a plus sign (+) inside this box →

PTO/SB/08B (08-00)
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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known	
Application Number	08/813,714
Filing Date	March 7, 1997
First Named Inventor	David M. Siefert
Group Art Unit	2151
Examiner Name	S. Lao
Attorney Docket Number	6002.03

Sheet	1	of	4

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		"Harvest User's Manual" by Darren R. Hardy & Michael F. Schwartz, Version 1.0 October 1994. Last revised on November 3, 1994.	
		"Essence: A Resource Discovery System Based on Semantic File Indexing" by Darren R. Hardy & Michael F. Schwartz - University of Colorado, Boulder. 1993 Winter USENIX - Jan. 25-29, 1993 - San Diego, CA pgs 361-374.	
		"Harvest Effective Use of Internet Information," The Harvest Information Discovery and Access System.	
		"The Harvest Information Discovery and Access System" by C. Mic Bowman, Peter B. Danzig, Darren R. Hardy, Ndi Manber & Michael F. Schwartz.	
		Technical Discussion of the Harvest System.	
		Harvest Demonstration Brokers	
		Harvest User's Manual	
		Instructions for the Harvest distribution: Version 1.3	
		Frequently Asked Questions (and Answers) about Harvest Version 1.4 patchlevel 2. 13 pages	
		"Customized Information Extraction as A Basis for Resource Discovery," by Darren R. Hardy and Michael F. Schwartz, Department of Computer Science, University of Colorado, Boulder.	
		"Debugging Autonomously Managed Distributed Systems & The Harvest Cache and Directory Replicator," by Peter B. Danzig Computer Science Department, University of Southern California, November 2, 1994.	

Examiner Signature		Date Considered	

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¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** *(use as many sheets as necessary)*				Complete if Known	
				Application Number	08/813,714
				Filing Date	March 7, 1997
				First Named Inventor	David M. Siefert
				Group Art Unit	2151
				Examiner Name	S. Lao
Sheet	2	of	4	Attorney Docket Number	6002.03

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		Harvest: A Scalable, Customizable Discovery and Access System, IRTF-RD: Mic Bowman (co-PI, Transarc), Pater Danizig (co-PI, USC), Darren Hardy (PRA, U Colorado), Udi Manber (co-PI, U Arizona), Mike Schwartz (PI, U Colorado).	
		"Internet Resource Discovery Services," by Katia Obraczka, Peter B. Danzig and Shih-Hao Li, University of Southern California, 8153 Computer, 26 (1993) September, No. 9, Los Alamitos, CA, US.	
		"The Internet Gopher: A Distributed Server Information System," by Mark Cahill, University of Minnesota.	
		"The Internet Gopher Protocol a distributed document search and retrieval protocol" Network Working Group, Request for Comments: 1436. 15 pages.	
		Exploring the Power of the Internet Gopher, UIUCnet V6-1, January 1993.	
		"The Whole Internet" User's Guide & Catalog, Second Edition, by Ed Krol 384.648, 161 Kr 1994.	
		Internet Passport, Northwestnet's Guide To Our World Online, March 1993, Fourth Edition.	
		"archie - An Electronic Directory Service for the Internet," by Alan Emtage, McGill University, Montreal, Canaca, Peter Deutsch, McGill University, Montreal, Canada, USENIX - Winter '92.	
		"Distributed Indexing A Scalable Mechanism for Distributed Information Retrieval," by Peter B. Danzig, Jongsuk Ahn, John Noll, Katia Obraczka, Computer Science Department, University of Southern California,, Los Angeles, California. ACM SIGIR Conference 1991, pgs 220-229.	
		"Internet Resource Discovery at the University of Colorado," by Michael F. Schwartz, Department of Computer Science, University of Colorado, Boulder Colorado, October 1992.	
		"Research Problems for Scalable Internet Resource Discovery," by C. Mic Bowman, Peter B. Danzig, Michael F. Schwartz, University of Colorado at Boulder, Technical Report CU-CS-643-93, March 1993.	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT *(use as many sheets as necessary)*				Application Number	08/813,714
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				Group Art Unit	2151
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		"Fields of Files," by Ruth Halpern, LAN Technology, September 1991, Volume 7, Number 9, pgs 48-62.	
		"Test Drive - Inside the Document Den," by Ruth Halpern, Lan Magazine, December 1992, Volume 7, Number 12, pgs 170-178.	
		Automating Document Managewment, Office Administration and Automation, Vol. XLVI, No. 4, April 1985, pgs 51-86.	
		"A Network Under Control," by Ed Perratore, December 17, 1991, PC Magazine, pgs 287-330.	
		"Evolution of the Internet Gopher," by Mark P. McCahill & Farhad X.Anklesaria, J.UCS, Volume 1, Issue 4.	
		Road Map to A/UX, Release 3.0.	
		Apple Inside Macintosh Volume III	
		Brady "Inside the Apple Macintosh," by Jim Held & Pater Norton.	
		Macintosh Bible, second edition, edited by Arthur Naiman.	
		Claris for Macintosh, ClarisWorks User's Guide.	
		Guide to Macintosh System 7.5, Don Crabb.	

Examiner Signature		Date Considered	

PTO/SB/08B (08-00)
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	Filing Date	March 7, 1997
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	Group Art Unit	2151
	Examiner Name	S. Lao
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		Macintosh User's Guide for desktop Macintosh computers, Part I-IV.	
		"The System 7 Book", by Craig Danuloff, Second Edition for 7.0 & 7.1.	
		"Desktop Design Series," the Ventana Press.	
		An Overview of A/UX Release 3.0, Chapters 1 & 2.	
		Mastering Windows 3.1, Robert Corvart.	
		"What Is Microsoft Workgroup Add-On for Windows?	
		Microsoft Windows User's Guide Operating System Version 3.1.	
		Concepts, Novell NetWare 4, Network Computing Products.	

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